IFW

## HE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: Kanshi CHINONE et al. Group Art Unit: 3723

Serial Number: 10/568,147 Examiner: Not yet assigned

Filed: February 13, 2006 Confirmation Number: 7793

For: POLISHING SLURRY FOR SEMICONDUCTOR PLANARIZATION

Attorney Docket Number: 062110
Customer Number: 38834

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97(b)

Mail Stop Amendment Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450 March 13, 2007

Sir:

Applicants direct the attention of the Patent and Trademark Office to the documents listed on the attached PTO/SB/08. A copy of each non- U.S. document is attached.

This paper is being filed prior to issuance of a first action on the merits and within the time periods set forth in 37 C.F.R. §1.97(b). A copy of each non-U.S. document is enclosed herewith.

In further compliance with the concise explanation requirement under 37 CFR §1.98(a)(3), JP2003-188122 is cited and discussed the enclosed Office Action in a corresponding foreign application.

JP2003-188122 was previously listed in the IDS filed June 27, 2005 and is not resubmitted herein. US 6,221,118 and EP0939431 are related to JP10-154673, previously listed in the IDS filed June 27, 2005.

Information Disclosure Statement under 1.97(b) Attorney Docket No. 062110 Serial No. 10/568,147

If there are any fees due in connection with the filing of this paper, please charge Deposit Account No. 50-2866.

Respectfully submitted,

WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP

Lee C. Wright

Attorney for Applicants
Registration No. 41,441

Telephone: (202) 822-1100 Facsimile: (202) 822-1111

LCW/af

Enclosures: PTO/SB/08

Document(s)



of

Combined Form PTO/SB/08A&B

Sheet

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known				
Application Number	10/568,147			
Confirmation Number	7793			
Filing Date	February 13, 2006			
First Named Inventor	Kanshi CHINONE et al.			
Art Unit	3723			
Examiner Name	Not yet assigned			
Attorney Docket Number	062110			

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number		Publication Date	
		Number	Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
	1.	US 6,221,118	B1	04-24-2001	Yoshida et al.
		US			
		US			
		US			
	·	US			
		US			
		US			
-		US			
		US			

	FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document			Publication Date	Name of Patentee or	
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation <sup>6</sup>
	2.	EP	0 939 431	A1	09-01-1999	HITACHI CHEMICAL CO., LTD.	
		I					
	•						
	-						
							" <u>"</u> L "

NON PATENT LITERATURE DOCUMENTS				
Examiner Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (bot Initials* No.¹ journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country wh			Translation	
	3.	Korean Office Action dated December 8, 2006, issued in corresponding Korean Patent Application Number 10-2006-7002813.	Full	

1	1 1
Examiner Signature	Date Considered

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.